

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>				1. CONTRACT ID CODE		PAGE OF PAGES 1   7	
2. AMENDMENT/MODIFICATION NO. 0003		3. EFFECTIVE DATE 26-Mar-2003		4. REQUISITION/PURCHASE REQ. NO. SYSAR03RA014		5. PROJECT NO.(If applicable)	
6. ISSUED BY DFAS ASD ARLINGTON 1931 JEFFERSON DAVIS HWY STE 4950 ARLINGTON VA 22240-5291		CODE HQ0421		7. ADMINISTERED BY (If other than item 6)  <b>See Item 6</b>		CODE	
8. NAME AND ADDRESS OF CONTRACTOR (No., Street, County, State and Zip Code)				X		9A. AMENDMENT OF SOLICITATION NO. MDA220-03-T-0016	
				X		9B. DATED (SEE ITEM 11) 18-Mar-2003	
						10A. MOD. OF CONTRACT/ORDER NO.	
						10B. DATED (SEE ITEM 13)	
CODE		FACILITY CODE					
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS							
<input checked="" type="checkbox"/> The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offer <input type="checkbox"/> is extended, <input checked="" type="checkbox"/> is not extended. Offer must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended by one of the following methods: (a) By completing Items 8 and 15, and returning <u>1</u> copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.							
12. ACCOUNTING AND APPROPRIATION DATA (If required)							
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.							
A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.							
B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(B).							
C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:							
D. OTHER (Specify type of modification and authority)							
E. IMPORTANT: Contractor <input type="checkbox"/> is not, <input type="checkbox"/> is required to sign this document and return _____ copies to the issuing office.							
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)  Amendment 0003 provides the Security Requirements and Privacy Act Requirement shown in Paragraph 19 of the Request for Quotations (RFQ).  Amendment 0003 also provides Questions and Answers on this requirement. As stated in Question #3 contained in Amendment, if there are any additional questions after receipt of this Amendment, please ensure they are submitted to the Contracting Officer no later than 9:00 AM EST, March 31, 2003 by e-mail to lois.byrne@dfas.mil..							
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.							
15A. NAME AND TITLE OF SIGNER (Type or print)				16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) LOIS M. BYRNE / CONTRACTING OFFICER			
15B. CONTRACTOR/OFFEROR		15C. DATE SIGNED		16B. UNITED STATES OF AMERICA BY <u>Lois M. Byrne</u> (Signature of Contracting Officer)		16C. DATE SIGNED 26-Mar-2003	
(Signature of person authorized to sign)							

EXCEPTION TO SF 30  
APPROVED BY OIRM 11-84

30-105-04

STANDARD FORM 30 (Rev. 10-83)  
Prescribed by GSA  
FAR (48 CFR) 53.243

## SECTION SF 30 BLOCK 14 CONTINUATION PAGE

## SUMMARY OF CHANGES

Changes in Section L

**19. Security Requirements and Privacy Act Requirements.**

All work-performed relative to the taskings identified in the SOW are unclassified or carry a Privacy Act Classification. System security shall be in accordance with DoD directive 5200.28, Security Requirements for AIS.

Security Investigation Requirements: No classified work will be required. However, the contractor will be working with sensitive information which is covered by the Privacy Act and which is category ADP/AIS II. Contractor must ensure sensitive (privacy act) information is properly safeguarded at the work-site and not removed from the work site. Also, the contractor will be required to comply with the security requirements associated with access to the DFAS enterprise network. This requires individuals who perform duties at the ADP/AIS II category to have a favorable determination of requisite investigation IAW the specifications of the DoD Personnel Security Program as provided for in the DoD 5200.2-R. If declared, the contractor may be requested to undergo a higher level of investigation. The NAC includes the following:

- Standard Form 85P - Questionnaire for Public Trust Positions
- FD 258 - Finger Print Card

All contractor personnel requiring access to the DFAS systems will complete a National Agency Check (NAC). The SF 85P will be completed using the Electronic Personnel Security Questionnaire (EPSQ). EPSQs are available for downloading on the Defense Security Service (DSS) Web Site at [www.dss.mil/epsq](http://www.dss.mil/epsq). Completed EPSQs, to include a copy on a disk and a signed hard copy, will be provided to the Contract Officer's Representative (COR) or Government Point Of Contact (GPOC) elsewhere named in this award. All 85Ps must be accompanied with a copy of the contractor's birth certificate for citizenship verification. Foreign Nationals must submit appropriate documentation from the Immigration and Naturalization Service (INS). Employment of non-US citizens requires a fully completed and favorably adjudicated background investigation prior to beginning DFAS work. The COR/GPOC will notify the contractor when contractor employees have been approved for access to the facility. The NACs should be completed before the contractor employee begins work. However, on an exception basis and if an emergency situation exists, a US citizen contractor employee may begin once the NAC has been reviewed, initiated, and there is no derogatory information and the COR/GPOC obtains contractor badges. Those US citizen contractors needed to begin work prior to receipt of a favorable NAC must have a waiver of pre-appointment investigative requirements approved by the proponent Business Line Executive, Client Executive, or Corporate Director. All contractor employees must receive a favorable NAC. Unfavorable NAC findings will require individual determinations by the DFAS-CO security office prior to allowing contractor employee access to the facility.

## QUESTIONS AND ANSWERS

### **Question #1**

**Is this a new purchase? If not, who is the incumbent?**

#### ***Answer to Question #1***

*This is not a new purchase and there is an incumbent. The name of the current incumbent is Computer Technology Associates, Inc.*

### **Question #2**

**Are funds set-aside for this project?**

#### ***Answer to Question #2***

*The FY03 funding is available for this project. FY04 funding is not yet available.*

### **Question #3**

**Do we have access to the program managers?**

#### ***Answer to Question #3***

*Contractors have access to the Program personnel via questions submitted to the Contracting Officer. The Contracting Officer will ensure that Program personnel review the questions and provide answers to the questions that will then be posted on FedBizOpps. If there are any additional questions after receipt of this Amendment, please ensure they are submitted to the Contracting Officer no later than 9:00 AM EST, March 31, 2003. Please submit all questions by e-mail to [lois.byrne@dfas.mil](mailto:lois.byrne@dfas.mil). This will ensure that questions may be reviewed and answered by an additional Amendment available to all companies on FedBizOpps.*

### **Question #4**

**Will past performance be an evaluation factor for the assessment? Are there any other elements that within the evaluation matrix to be considered? If so what will be the allotted percentage weighted?**

#### ***Answer to Question #4***

*The Request for Proposals (RFQ) states that this requirement is being completed under FAR 8.404. Please review the RFQ for additional information.*

### **Question #5**

**If bidding among potential offers is close, will you allow for best and finals?**

#### ***Answer to Question #5***

*Again, the RFQ states that this requirement is being completed under FAR 8.404.*

**Question #6**

**How much time and resources will the customer devote to the project for discussion of this requirement and actual implementation?**

**Answer to Question #6**

*As stated in the RFQ, Paragraph 13 provides information regarding the meeting between the Government and the Contractor receiving the delivery order.*

**Question #7**

**What is the level of effort?**

**Answer to Question #7**

*Please review the RFQ and the Statement of Objectives (SOO) for information provided regarding the requirement.*

**Question #8**

**Access to DFAS 8000.1.R is not available. Is there anything in this regulation that modifies the process and outputs from DOD 8510.1-M Information Technology Security Certification and Accreditation Process (DITSCAP). In particular are there attachments that have either been added or deleted from those required?**

**Answer to Question #8**

*Both answers to Question #8 are no.*

**Question #9**

**No schedule was given for when particular DCAS events were going to occur. This makes it difficult to determine when the security documentation deliverables, events, and testing needs to occur. Can a tentative program schedule be provided?**

**Answer to Question #9**

*A tentative schedule is provided below.*

- *Phase 2, Treasury Reporting Prototype DFAS IN/KC 11/00 - 4/03*
- *Phase 3, Financial Reporting System (FRS) & Reconciliation (RECON) DFAS -IN/CO/DE 12/01 - 2/04*
- *Phase 4, Treasury Reporting & RECON DFAS-IN/CO 7/02 - 12/04i*
- *Phase 5, Treasury Reporting & RECON DFAS-DE 12/03 - 9/05*

*The abbreviations IN, KC, CO DE refer to DFAS sites, Indianapolis, Indiana, Kansas City, Missouri, Columbus, Ohio and Denver, Colorado. As stated in the RFQ, travel is reimbursable for this delivery order*

**Question #10**

**Can you tell me what we need to price for CLIN item 0001? Since there is no estimate of hours per labor categories, what do I fill in for the amount? Also, the Program Manager is the Key Personnel, are there labor hour estimates to be used? Are there any estimates, as to the number per labor categories that will be needed?**

***Answer to Question #10***

*Offerors need to prepare firm fixed priced quotations from labor categories in their Schedule 70 GSA Schedule contract. There are no estimates involved as all quotes and the resultant delivery order are firm fixed priced.*

**Question #11**

**We would like to confirm that the work outlined in the matrix is what is expected from the Offerors.**

***Answer to Question #11***

*The provided Matrix work (Attachment 2) is work performed by the Offerors.*

**Question #12**

**Is it permissible to team with a Small or a Large business to deliver the requested services under the Pre-solicitation Notice and use their GSA?**

***Answer to Question #12***

*All partners proposing in a teaming arrangement must have a Schedule 70 FSS contract. If another company that has a Schedule 70 contract chooses to subcontract to a company that does not have a Schedule contract, that would be acceptable.*

**Question #13**

**If there are any modifications, extensions of the due date, or other information that we should be aware of prior to the submission date of 02 APRIL 2003, please add me to your distribution list.**

***Answer to Question #13***

*The Government does not have a distribution list and will not provide information when there are changes prior to quotation submissions. All Contractors may sign up with FedBizOpps to get notification of any modifications, amendments, extensions, etc. If you don't sign up with FedBizOpps, then check the site regularly to ensure you have any postings for this requirement ([www.fedbizopps.gov](http://www.fedbizopps.gov)).*

**Question #14**

**DCAS is a system that runs on a set of servers. Is that set of servers a set of a larger system for which an accreditation has already been done? Does the accreditation need to be done on the software only or on both the software and hardware?**

**Answer to Question #14**

*DCAS software and hardware has already been certified and accredited for Phase 1. Certification & Accreditation (C&A) preparation for Phase 2 hardware/software has been completed. The C&A must be prepared for DCAS Phase 3, both hardware and software.*

**Question #15**

**Does the hardware on which DCAS run already have an accreditation and will that accreditation need to be updated during this contract period?**

**Answer to Question #15**

*DCAS hardware has already been certified and accredited for Phase 1. C&A preparation for Phase 2 hardware/software have been completed. The Government needs certification and accreditation for Phase 3 during this contract period. Preparation for C&A, Phase 4, will likely occur during the contract Option year.*

**Question #16**

**Does the contractor need to perform a site accreditation for both the development system and the production system locations? Is the requirement for both a type accreditation and several site accreditations?**

**Answer to Question #16**

*No. The development and production sites are responsible for their own site accreditations. Type accreditation is not used.*

**Question #17**

**We presume that the DCAS system for the client will only be a type accreditation that will need to be sent to the sites for site accreditation. However, if it is truly only a Web application that only the Web application needs to be accredited and will be a part of the production site accreditation. Is the DCAS system for the client truly only a Web application?**

**Answer to Question #17**

*This will not be a type accreditation. DCAS is not a web application. The Web is used simply as a means to convey reports that are pushed down from the DCAS database. Clients may then login and pick up the reports without actually touching the DCAS database.*

**Question #18**

**Treasury Reporting is in parallel testing. Has the current accreditation been updated to reflect this new capability or will that be a part of the work that the contractor will have to do?**

***Answer to Question #18***

*The accreditation for Phase 2, Treasury Reporting, has already been updated. The contractor is being asked to prepare C&A for Phase 3, Reconciliation and FRS elimination.*

**Question #19**

**What level of security clearance will be required?**

***Answer to Question #19***

*DCAS is not a classified system; therefore no security clearance is required. However, contractor personnel must receive a favorable National Agency Check (NAC) as described in Paragraph 19 of the RFQ (added Amendment 03).*

**Question #20**

**Will the C&A be based on DITSCAP guidelines? If not, what guidelines will apply?**

***Answer to Question #20***

*Yes. Refer to the Performance-Based Matrix, Column C, for specific performance standards.*